



American Society of Greek and Latin Epigraphy

Société américaine d'épigraphie latine et grecque

<http://www.case.edu/artsci/clsc/asgle/>

ASGLE Bulletin, 1 April 2009 Volume 13, Number 1

Be sure to vote for members of the Executive Committee on the ASGLE Ballot on page 3 and for a proposed amendment to the articles of the ASGLE Constitution to include a conflict of interest policy on pages 4-6 (this requires 2/3 majority vote).

ANNUAL BUSINESS MEETING

The Society's Annual Business Meeting took place in Philadelphia on Jan. 9, 2009. Those present included Glenn Bugh, Kevin Clinton, Nora Dimitrova, Paul Iversen, John Morgan, Gil Renberg, James Sickenger, Stephen Tracy, and John Traill. The following items were discussed:

-Finances. The Secretary-Treasurer (Iversen) reported that in 2008 we had 75 members from whom we took in \$2,942.71 in dues (\$1,210.00 of which will be applied to future memberships), that the total cost of the two annual Newsletters was \$956.42, and that we ended the year with a balance of \$14,242.06.

-Progress towards non-profit status. The Secretary-Treasurer reported that he found a lawyer (J. McGuire) willing to donate his time to help us achieve non-profit status. McGuire said for ASGLE to achieve non-profit status it must do the following three things: (1) Incorporate ASGLE in a particular state, (2) File IRS form SS-4 to receive a Federal Employer Identification Number (EIN), and (3) File IRS form 1023 to obtain 501(c)3 status. **For (1),** the

Secretary-Treasurer suggested that we incorporate in Ohio and informed those present that Ohio law requires a Statutory Agent with whom the State of Ohio can communicate about legal matters; there was also an application fee of \$175.00. Those present unanimously endorsed incorporation in Ohio, Paul Iversen as Statutory Agent, and approved the \$175.00 application fee.

[Update: As of January 23, 2009, ASGLE is now incorporated in the state of Ohio].

For (2), all those present unanimously approved that the Secretary-Treasurer apply for an EIN, which is free.

[Update: On February 20, 2009 the Secretary-Treasurer filled out the online SS-4 form and obtained an EIN for ASGLE].

For (3), the Secretary-Treasurer informed those present that form 1023 suggests that we should have a "conflict of interest" policy, and that our lawyer suggested we just adopt the language provided in the form. The Secretary-Treasurer also informed those present that 501(c)3 non-profit status requires that we have a periodic review of our financial records and he recommended that we adopt some formal policy. There was discussion about how to do this. Kevin Clinton pointed out that we do not need a full-blown "audit" with all its associated costs, just some sort of "review". Steve Tracy suggested that

we “review” the books at the end of every Secretary-Treasurer’s three-year term. Clinton moved that we fill out IRS form 1023, that we adopt the conflict of interest policy found therein [this requires a change of our constitution by 2/3 majority vote of members; see pages 4-6 for the new proposed policy amendments], and that we implement some sort of formal “review” of our financial records at the end of each Secretary-Treasurer’s term. All three motions were unanimously approved; the details of the financial review still need to be worked out. After the business meeting, the Secretary-Treasurer discovered while filling out form 1023 that it requires a filing fee of \$300, which he asked the Executive Committee to approve via e-mail.

-Constitutional Issues. In preparing for non-profit status, the Secretary-Treasurer reported that he discovered there were some areas of our Constitution that we were not following, the most important of which was that we did not have a second Member-At-Large on the Executive Committee. Iversen moved that we immediately conduct a special election for a second Member-At-Large via e-mail and this motion was unanimously approved.

[Update: The Secretary-Treasurer e-mailed the special election ballot on January 27, and on March 1 announced that Glenn Bugh had been elected].

Other constitutional issues that should be resolved at some point in the future include having an Editorial Board with a Senior Editor, Web Editor, and Junior Editor (right now the Secretary-Treasurer is carrying out all these functions).

-Epigraphical Congress. We discussed the possibility of the Society sponsoring a North American Epigraphical Congress. There was wide support for such a Congress at the meeting. Two ideas were floated: (1) Iversen reported that his home institution of Case Western Reserve University was provisionally willing to host a stand-alone Congress sometime in the early fall of 2010; (2) John Morgan suggested piggy backing a satellite congress one day before or after the existing APA/AIA meetings in San Antonio in January of 2011. Given the interest, the Vice President (Tracy) appointed a Program Committee of Bugh, Dimitrova, Iversen, and Morgan to organize the Congress. It was also resolved to conduct a survey of the membership to see whether they preferred either of these two options, or no congress at all.

[Update: The survey was sent out on January 27, 2009 and 55% were in favor of a satellite congress, 36% in favor of a stand-alone meeting, and 9% wanted no congress at all. In addition, after the meeting John Bodell informed us that AIEGL has money for sponsoring epigraphical congresses, and that he and Jonathan Edmonson (the US and Canadian representatives of AIEGL) would be willing to present a proposal later this year to AIEGL for sponsorship of our Congress.]

2010 ASGLE JOINT APA/AIA PANEL

Greek and Latin Inscriptions:
New Discoveries

Steve Tracy & Paul Iversen, Organizers

The 2010 annual Joint APA/AIA Panel Session will be held January 6-9 (Wed. – Sat.) in Anaheim, CA. The paper titles and panelists will be:

-N. Dimitrova & K. Clinton, “Maroneia

Honors Q. Lutatius Catulus in Samothrace."

-G. Lalonde, "Two 'New' Horos Inscriptions of the Boule of the Areiopagus: Epigraphy and Topography."

-J. Morgan, "Athens and the Aleuads."

-N. Papzarkadas & D. Sourlas, "A New Fragment of IG I³ 1149 (Epitaph for the Argives Killed at the Battle of Tanagra)."

-S. Tuck, "*Fistulae* and Freedmen: Lead Water Pipes and Shifting Imperial Realities on the Bay of Naples."

-C. Wallace, "Murder, Mayhem and Salt: *IPriene* 111 and the *Publicani* in Roman Asia."

DISSERTATION RESEARCH AWARDS

ASGLE has money for Dissertation Research Awards. These awards consist in *travel money* for a student working on an epigraphical dissertation to visit a collection somewhere. Send in a proposal to the Secretary-Treasurer with a letter of support from your advisor. All applicants should be members of ASGLE and will be required to write up a report.

MNHMHΣ XAPIN

Dan Geegan
Donald Laing, Jr.
Geoffrey Woodhead

ASGLE BALLOT

1. Vice-President, 2-Year term, beginning on January 1, 2010 and ending on December 31, 2011. VP automatically becomes the next President.

_____ Nora Dimitrova (Research Associate in Classics, Cornell University)

2. Member-At-Large, 3-Year term beginning on January 1, 2010 and ending on December 31, 2012.

_____ John Bodell (Professor of Classics and History, Brown University)

3. Secretary-Treasurer, 3-Year term beginning on January 1, 2010 and ending on December 31, 2012.

_____ Paul Iversen (Assistant Professor, Case Western Reserve University)

You may e-mail your selections to paul.iversen@cwru.edu, or snail-mail them to: Paul Iversen/Secretary-Treasurer, ASGLE / CWRU Department of Classics / 111 Mather House / 11201 Euclid Avenue / Cleveland, OH 44106-7111.

**PROPOSED AMENDMENT OF ARTICLES 18-25 TO ASGLE CONSTITUTION
(Requires 2/3 majority): ADOPTION OF A CONFLICT OF INTEREST POLICY**

1. I AGREE _____ I DO NOT AGREE _____ with the following proposed amendments to the articles of the ASGLE Constitution, which will enact a Conflict of Interest Policy:

ARTICLE 18: PURPOSE

The purpose of the conflict of interest policy is to protect this tax-exempt organization's (ASGLE) interest when it is contemplating entering into a transaction or arrangement that might benefit the private interest of an officer or director of the Organization or might result in a possible excess benefit transaction. This policy is intended to supplement but not replace any applicable state and federal laws governing conflict of interest applicable to nonprofit and charitable organizations.

ARTICLE 19: DEFINITIONS

1. Interested Person. Any director, principal officer, or member of a committee with governing board delegated powers, who has a direct or indirect financial interest, as defined below, is an interested person.

2. Financial Interest. A person has a financial interest if the person has, directly or indirectly, through business, investment, or family:

a. An ownership or investment interest in any entity with which the Organization has a transaction or arrangement,

b. A compensation arrangement with the Organization or with any entity or individual with which the Organization has a transaction or arrangement, or

c. A potential ownership or investment interest in, or compensation arrangement with, any entity or individual with which the Organization is negotiating a transaction or arrangement.

Compensation includes direct and indirect remuneration as well as gifts or favors that are not insubstantial.

A financial interest is not necessarily a conflict of interest. Under Article III, Section 2, a person who has a financial interest may have a conflict of interest only if the appropriate governing board or committee decides that a conflict of interest exists.

ARTICLE 20: PROCEDURES

1. Duty to Disclose. In connection with any actual or possible conflict of interest, an interested person must disclose the existence of the financial interest and be given the opportunity to disclose all material facts to the directors and members of committees with governing board delegated powers considering the proposed transaction or arrangement.

2. Determining Whether a Conflict of Interest Exists. After disclosure of the financial interest and all material facts, and after any discussion with the interested person, he/she shall leave the governing board or committee meeting while the determination of a conflict

of interest is discussed and voted upon. The remaining board or committee members shall decide if a conflict of interest exists.

3. Procedures for Addressing the Conflict of Interest:

a. An interested person may make a presentation at the governing board or committee meeting, but after the presentation, he/she shall leave the meeting during the discussion of, and the vote on, the transaction or arrangement involving the possible conflict of interest.

b. The chairperson of the governing board or committee shall, if appropriate, appoint a disinterested person or committee to investigate alternatives to the proposed transaction or arrangement.

c. After exercising due diligence, the governing board or committee shall determine whether the Organization can obtain with reasonable efforts a more advantageous transaction or arrangement from a person or entity that would not give rise to a conflict of interest.

d. If a more advantageous transaction or arrangement is not reasonably possible under circumstances not producing a conflict of interest, the governing board or committee shall determine by a majority vote of the disinterested directors whether the transaction or arrangement is in the Organization's best interest, for its own benefit, and whether it is fair and reasonable. In conformity with the above determination it shall make its decision as to whether to enter into the transaction or arrangement.

4. Violations of the Conflicts of Interest Policy:

a. If the governing board or committee has reasonable cause to believe a member has failed to disclose actual or possible conflicts of interest, it shall inform the member of the basis for such belief and afford the member an opportunity to explain the alleged failure to disclose.

b. If, after hearing the member's response and after making further investigation as warranted by the circumstances, the governing board or committee determines the member has failed to disclose an actual or possible conflict of interest, it shall take appropriate disciplinary and corrective action.

ARTICLE 21: RECORDS OF PROCEEDINGS

The minutes of the governing board and all committees with board delegated powers shall contain:

a. The names of the persons who disclosed or otherwise were found to have a financial interest in connection with an actual or possible conflict of interest, the nature of the financial interest, any action taken to determine whether a conflict of interest was present, and the governing board's or committee's decision as to whether a conflict of interest in fact existed.

b. The names of the persons who were present for discussions and votes relating to the transaction or arrangement, the content of the discussion, including any alternatives to the proposed transaction or arrangement, and a record of any votes taken in connection with the proceedings.

ARTICLE 22: COMPENSATION

- a.** A voting member of the governing board who receives compensation, directly or indirectly, from the Organization for services is precluded from voting on matters pertaining to that member's compensation.
- b.** A voting member of any committee whose jurisdiction includes compensation matters and who receives compensation, directly or indirectly, from the Organization for services is precluded from voting on matters pertaining to that member's compensation.
- c.** No voting member of the governing board or any committee whose jurisdiction includes compensation matters and who receives compensation, directly or indirectly, from the Organization, either individually or collectively, is prohibited from providing information to any committee regarding compensation.

ARTICLE 23: ANNUAL STATEMENTS

Each director, principal officer and member of a committee with governing board delegated powers shall annually sign a statement which affirms such person:

- a.** Has received a copy of the conflicts of interest policy,
- b.** Has read and understands the policy,
- c.** Has agreed to comply with the policy, and
- d.** Understands the Organization is charitable and in order to maintain its federal tax exemption it must engage primarily in activities which accomplish one or more of its tax-exempt purposes.

ARTICLE 24: PERIODIC REVIEWS

To ensure the Organization operates in a manner consistent with charitable purposes and does not engage in activities that could jeopardize its tax-exempt status, periodic reviews shall be conducted. The periodic reviews shall, at a minimum, include the following subjects:

- a.** Whether compensation arrangements and benefits are reasonable, based on competent survey information, and the result of arm's length bargaining.
- b.** Whether partnerships, joint ventures, and arrangements with management organizations conform to the Organization's written policies, are properly recorded, reflect reasonable investment or payments for goods and services, further charitable purposes and do not result in inurement, impermissible private benefit or in an excess benefit transaction.

ARTICLE 25: USE OF OUTSIDE EXPERTS

When conducting the periodic reviews as provided for in Article VII, the Organization may, but need not, use outside advisors. If outside experts are used, their use shall not relieve the governing board of its responsibility for ensuring periodic reviews are conducted.

MEMBERSHIP INFORMATION

Thank you for your continued support of ASGLE! For those of you interested in knowing the status of your membership, just check the first line of your **mailing label** for your paid-through date. In the following example, Jane Doe has paid through 31 December 2007.

Membership Exp.: 12/31/09

Jane Doe
101 First Street
New York, NY 000000

You may pay ASGLE dues online with a credit card via PayPal in many different currencies ([http:// www.case.edu/artsci/clsc/asgle/membership.html](http://www.case.edu/artsci/clsc/asgle/membership.html)) or in US Dollars by regular mail with a cheque. For those who use the PayPal option, if you enroll as College or University Faculty you will be charged \$2; if you enroll as Student/Retired/Independent, you will be charged \$1. Only those who enroll at the College or University Faculty level will be automatically enrolled in the Association Internationale pour l'Epigraphie Grecque et Latine (AIEGL). *If paying with cheque, please send only US funds, make all cheques payable to ASGLE, and send to Paul Iversen at the address given below. You may wish to make a copy of the completed form for your records.* Dues will be applied to the year in which they are received unless otherwise requested.

_____ College or University Faculty. Annual dues \$40. Membership in the International Association of Greek and Latin Epigraphy is automatic.

_____ Student, Retired, Independent. Annual dues \$10. Does NOT include membership in the International Association of Greek and Latin Epigraphy.

_____ Student, Retired, Independent. Annual dues \$40. Membership in the International Association of Greek and Latin Epigraphy is included.

NOTE: You may pay dues for more than one year at a time. If you wish to pay for more than one year, please indicate here the number of years (not more than five) you are paying for:

Please print or type the following information:

Year(s)/Total enclosed: _____

Date: _____

Name: _____

Email: _____

Address: _____

Report any mailing-label errors here: _____

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